



COPY OF PAPERS  
ORIGINALLY FILED

GP/2872  
MIT:9066

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Kimerling et al.

GROUP: 2874

SERIAL NO: 09/996,462

EXAMINER: Unknown

FILED: 11/28/2001

FOR: THREE DIMENSIONAL HIGH TRANSMISSION CAVITY DEVICES FOR  
COMPACT HIGH INDEX CONTRAST BENDS SPLITTERS AND  
RESONATORS

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

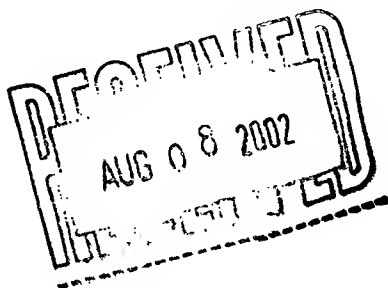
INFORMATION DISCLOSURE STATEMENT

In compliance with 37 C.F.R. §§1.56, 1.97, and 1.98, Applicant submits copies of the documents listed on the attached Form PTO-1449.

The listed documents were recently cited in a corresponding PCT application, and a copy of the International Search Report is being submitted herewith for purposes of convenience.

The Commissioner is authorized to charge Deposit Order Account No. 19-0079 for any further fee that is required.

Respectfully submitted,



Matthew E. Connors  
Registration No. 33,298  
Samuels, Gauthier & Stevens, LLP  
225 Franklin Street, Suite 3300  
Boston, Massachusetts 02110  
Telephone: (617) 426-9180  
Extension: 112

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Emily C. Porcell

7/25/2002  
Date